

# Quantum Proofs, Semester A 2024

## Homework # 1 Solutions

January 4, 2024

Rather than complete solutions, I indicate the main ideas for questions that created difficulties. A complete solution, earning full points, would have more details in the calculations and so would probably run an additional 2-3 pages in total. (When describing a circuit, some of you drew a picture, which is perfectly appropriate. You are always welcome to include a picture of hand-drawn circuit; which can be attached on a separate page if it is more convenient.)

Please read the document and check your understanding of the answer. If you feel that your solution was correct, but I mistakenly did not award you all points, please talk to me. If my sketch is not detailed enough and you would like to see a full solution, please ask me as well.

### Problems:

#### 1. (3 points) The Trace Power Method and the Complexity of QMA

- (a) Let  $A$  be a  $D \times D$  positive semidefinite matrix. Show that the following inequality holds:

$$\lambda_{max}^t \leq \text{Tr}(A^t) \leq D\lambda_{max}^t$$

where  $\lambda_{max}$  is the largest eigenvalue of  $A$ .

- (b) Let  $C$  be a QMA verifier circuit with  $q$  input qubits and one output qubit. Let  $n = |C|$  be the size of  $C$ . Determine an operator  $A$ , depending on  $C$ , and an integer  $t$  such that computing  $\text{Tr}(A^t)$  would allow you to determine whether  $C$  satisfies the YES case (there is a quantum proof accepted by  $C$  with probability at least  $\frac{2}{3}$ ) or the NO case (no quantum proof is accepted by  $C$  with probability larger than  $\frac{1}{3}$ ).

The first two questions were solved correctly by almost everyone. A possible way of defining  $A$  is as

$$A = (\mathbb{I} \otimes \langle 0|_A)U(\mathbb{I} \otimes |1\rangle\langle 1|_O)U^\dagger(\mathbb{I} \otimes |0\rangle_A), \quad (1)$$

where we have labeled the single-qubit output register as “ $O$ ” and the  $m$ -qubit ancilla register as “ $A$ ”. Then  $A$  is a  $2^q \times 2^q$ -dimensional matrix, which is positive definite as the conjugation of a projection,  $\mathbb{I} \otimes |1\rangle\langle 1|_O$  which is positive semidefinite, by a unitary,  $U$ , and a rectangular matrix,  $\mathbb{I} \otimes \langle 0|_A$ .

- (c) Use your answer from part (b) to argue that there is a polynomial-space algorithm that can decide any language in QMA, i.e. show the inclusion  $\text{QMA} \subseteq \text{PSPACE}$ . Describe the algorithm in high-level language and explain carefully why it only requires a polynomial (in its input length, i.e.  $|C|$ ) amount of space.

This part could be done with various levels of care. Here is the main idea. Note that  $U$  has a decomposition  $U = G_T \cdots G_1$  as a product of one- or two-qubit gates

$G_1, \dots, G_T$ . Now, we can write

$$\begin{aligned} \text{Tr}(A^t) &= \text{Tr}(A \cdots A) \\ &= \sum_{x_1 \in \{0,1\}^q} \langle x_1 | A \cdots A | x_1 \rangle \\ &= \sum_{x_1, \dots, x_t \in \{0,1\}^q} \langle x_1 | A | x_2 \rangle \langle x_2 | A | x_3 \rangle \cdots \langle x_t | A | x_1 \rangle . \end{aligned}$$

This is simply because the trace can be computed by summing diagonal coefficients in any basis; and because  $\mathbb{I} = \sum_x |x\rangle\langle x|$ . Now if we write out the formula defining  $A$  (1) and again introduce resolutions of the identity at each step, we obtain an expression that looks like

$$\text{Tr}(A^t) = \sum_{x_1, \dots} \langle x_1 | \cdots | x_j \rangle \langle x_j | G_k | x_{j+1} \rangle \langle x_{j+1} | G_{k-1} | x_{j+2} \rangle \langle x_{j+2} | \cdots | x_1 \rangle ,$$

where the point is that we introduced resolutions of  $\mathbb{I}$  between any two elementary gates. Finally, one needs to observe that the right-hand side can be evaluated in PSPACE, using a counter for each of the polynomially variables  $x_j$ , and such that each term in the sum can be computed in polynomial space by multiplying the appropriate matrix coefficients. (Most terms of the form  $\langle x_j | G_k | x_{j+1} \rangle$  will be equal to 0, because if  $x_j$  and  $x_{j+1}$  differ on a bit on which  $G_k$  acts as identity, we get zero; nevertheless, the important point is that any such term can be easily computed given a  $4 \times 4$  matrix representation for the 2-qubit gate  $G_k$ .)

## 2. (4 points) **Non-identity check**

Consider the following promise problem *(a, b)-non-identity check* (NIC for short). The input is a description of a quantum unitary circuit  $U$  on  $m$  qubits. In the YES case, it is promised that there is an  $m$ -qubit state  $|\psi\rangle$  such that  $\| |\psi\rangle - U|\psi\rangle \| \geq a$ . In the NO case, it is promised that for all  $m$ -qubit states  $|\phi\rangle$ ,  $\| |\phi\rangle - U|\phi\rangle \| \leq b$ .

- (a) By giving an explicit verification procedure, show that for any  $0 \leq b < a \leq \sqrt{2}$  such that  $b - a > 1/\text{poly}(n)$ , the problem  $(a, b)$ -NIC is in QMA.

We need to design a verification circuit. The verification circuit uses a single ancilla qubit initialized in state  $|0\rangle$ , and  $m$  proof qubits, where recall that  $m$  is the number of qubits that  $U$  acts on. The verification circuit can be expressed as  $V = (\mathbb{I} \otimes X_A)(\mathbb{I} \otimes H_A) C T L_A U (\mathbb{I} \otimes H_A)$ . Here,  $C T L_A U$  designates the application of  $U$ , controlled on the ancilla qubit; and  $X_A$  and  $H_A$  denote application of a single-qubit  $X$  and  $H$  gates on qubit  $A$  respectively. The output qubit is the qubit in  $A$ . For any proof state  $|\psi\rangle$ , the probability that the output qubit of  $V|\psi\rangle|0\rangle_A$  is 1 is calculated to equal

$$\frac{1}{4} \| |\psi\rangle - U|\psi\rangle \|^2 .$$

From there, completeness and soundness parameters can be determined to equal  $\frac{1}{4}a^2$  and  $\frac{1}{4}b^2$  respectively.

- (b) Show that there are  $0 \leq b < a \leq \sqrt{2}$  such that  $b - a > 1/\text{poly}(n)$  for which the problem  $(a, b)$ -NIC is QMA-hard. [Hint: given a unitary QMA verification circuit  $V$ , define a unitary  $U$  that, informally, executes  $V$ , saves the “answer”, and “resets” the workspace used by  $V$ .]

We use the hint, but it should be completed by introducing a similar “trick” to deal with ancilla qubits. Let  $V$  be a (unitary) QMA verification circuit with  $q$  proof qubits, labeled  $Q$ , and  $m$  ancilla qubits, labeled  $A$ . We also let  $O$  denote the output qubit. Let’s assume that this circuit has been amplified, so that  $c$  is exponentially close to 1, and  $s$  exponentially close to 0.

Consider the following  $(q + m + 1)$ -qubit unitary, where the additional qubit is labeled  $B$ :

$$U_{AQB} = V^\dagger \cdot C_{OR_B} \cdot V \cdot C_{AR_B} .$$

Here,  $C_{AR_B}$  applies a small rotation, of some angle  $\theta$  (say  $\theta = \pi/8$ ), on qubit  $B$ , controlled on  $A$  *not* being in state  $|0\rangle_A$ ; and  $C_{OR_B}$  applies the same rotation on qubit  $B$ , controlled on qubit  $O$  being in state  $|0\rangle_O$ .  $V$  and  $V^\dagger$  both act as identity on  $B$ .

We can verify that for  $|\psi\rangle$  a proof that is accepted by  $V$  with high probability,  $U_{AQB}|\psi\rangle_Q|0\rangle_A|0\rangle_B \approx |\psi\rangle_Q|0\rangle_A|0\rangle_B$ , up to exponentially small corrections. This is because neither of the controlled-operators is “triggered” by this input state, and so the whole circuit acts (more or less) as the identity.

The soundness case is more difficult. Suppose that  $V$  rejects all states with probability exponentially close to 1. We decompose an arbitrary state  $|\psi\rangle_{QAB}$  on which  $U_{AQB}$  can act as

$$|\psi\rangle_{QAB} = |\psi_0\rangle_{QB}|0\rangle_A + |\psi_1\rangle_{QB}|\phi\rangle_A ,$$

where  $|\phi\rangle_A$  is orthogonal to the all-0 state. States of the form  $|\psi_1\rangle_{QB}|\phi\rangle_A$  have their qubit  $B$  rotated by the first  $C_{AR_B}$ , and nothing in the remaining circuit will bring them back close to their original state. States of the form  $|\psi_0\rangle_{QB}|0\rangle_A$  have their qubit  $B$  rotated by the second  $C_{OR_B}$ , because they are very close to having their  $O$  qubit set to 1 after application of  $V$ , by the soundness assumption.

### 3. (3 points) **Small witnesses**

Consider a promise problem  $L = (L_y, L_n) \in \text{QMA}$  and a QMA verification circuit  $C = C_x$  for  $L$  that operates on quantum proofs on  $q = q(n)$  qubits (where  $n = |x|$ ).

- (a) Show (using a result from class) that there is a QMA verification circuit for  $L$  with proof states of  $q(n)$  qubits, completeness  $c \geq 1 - \delta$  and soundness  $s \leq \delta$  where  $\delta = \frac{1}{3}2^{-q(n)}$ .

This is a direct application of sequential soundness amplification.

- (b) Suppose we execute the verification circuit from (a) on a uniformly random  $q(n)$ -qubit computational basis state. Show that if  $x \in L_y$  then the acceptance probability is at least  $\frac{2}{3}2^{-q(n)}$ , while if  $x \in L_n$  then it is at most  $\frac{1}{3}2^{-q(n)}$ .

Everyone solved this correctly; noting that in the case  $x \in L_y$  we in fact have a slightly better bound of  $2^{-q}(1 - \frac{1}{3}2^{-q})$ . This part uses that running the verification circuit on a uniformly random basis state is *equivalent* to running it on a uniformly random state from *any* orthonormal basis — such as a basis that contains an optimal proof state as one of its elements. (Indeed, the density matrix that represents either mixture is the totally mixed state, i.e. the (scaled) identity matrix.)

- (c) Use (b) to show that QMA with proof states restricted to  $q(n) = O(\log n)$  qubits equals BQP.

Containment of BQP is clear. For the reverse inclusion, we note that a BQP algorithm can easily prepare the totally mixed state on  $q$  qubits, for example by preparing  $q$  EPR pair in parallel and acting on one half of each pair. Using that  $q = O(\log n)$ , the gap between the two cases in the previous question is inverse polynomial, which can be amplified by sequential repetition of the BQP algorithm.